

काशी हिन्दू  
विश्वविद्यालय



BANARAS HINDU  
UNIVERSITY



AN INSTITUTION OF NATIONAL IMPORTANCE ESTABLISHED BY AN ACT OF PARLIAMENT



**ORDINANCE GOVERNING THE AWARD  
OF THE DEGREE OF  
DOCTOR OF PHILOSOPHY/VIDYĀVĀRIDHI  
(2016)**

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<b>I. INTRODUCTION</b>	
1.1	These Ordinances shall be called the Doctor of Philosophy/Vidyāvāridhi Ordinances, 2016 and shall come into force from the date the Executive Council approves them.
1.2	The degree of Doctor of Philosophy/Vidyāvāridhi (herein after referred to as the Ph. D. degree) of the Banaras Hindu University shall be conferred on the candidates who fulfils the requirements as specified in these ordinances.
1.3	The Ph.D. degree conferred by the Sanskrit Vidya Dharm Vijnan Sankaya shall be known as Vidyāvāridhi.
1.4	The list of disciplines (main and allied) in which Ph. D. degrees are conferred under various Faculties of the University shall be provided in the Ph.D. Admission Bulletin.
1.5	<b>Reservations:</b> 15% of the total number of available seats shall be reserved for SC candidates, 7.5% for ST candidates, 27 % for OBC Candidates, 10% for EWS and 5% horizontal reservation for Persons with Disabilities (PwBd) candidates: (a) blindness and low vision; (1%) + (b) deaf and hard of hearing; (1%) + (c) locomotor disability including cerebral palsy, leprosy cured, dwarfism, acid attack victims and muscular dystrophy; (1%)+ (d) autism, intellectual disability, specific learning disability and mental illness; (1%) + (e) multiple disabilities from amongst persons under clauses (a) to (d) including deaf-blindness in the posts identified for each disabilities: (1%).
	Note for 'Physically Challenged' Candidates: In certain Courses/Departments/Faculties (like Faculty of Agriculture, Department of Physical Education), admission of Physically Challenged candidates is not permissible. Details may be sought from the Controller of Examinations. The number of seats reserved for SC/ST/OBC categories shall be reduced proportionately if number of qualified candidates is less. The vacant seats reserved for SC/ST/OBC/EWS candidates, if any, shall be filled as per Government of India rules.
	Each SC/ST/OBC/EWS candidate shall have to submit a copy of the recent Certificate mentioning that the candidate belongs to SC/ST/OBC/EWS community. Such certificates shall be subject to verification from the concerned District Magistrate. The following are empowered to issue the certificate: (a) District Magistrate/Additional District Magistrate/Collector/Deputy Commissioner/Addl. Deputy Commissioner/ Deputy Collector/ 1 <sup>st</sup> Class Stipendiary Magistrate/City Magistrate/Sub-Divisional Magistrate/ Taluka Magistrate/ Executive Magistrate / Extra Assistant Commissioner. (b) Chief Presidency Magistrate/Addl. Chief Presidency Magistrate/Presidency Magistrate. (c) Revenue Officer not below the rank of Tehsildar. (d) Sub-Divisional Officer of the area where the candidate and/or his/her family normally resides. (e) Administrator/Secretary to the Administrator/ Development Officer (Lakshadweep Islands). Candidates must note that Certificate from any other persons/authority shall not be accepted in any case. Further, 'General category' includes all candidates

	<p>other than those belonging to SC, ST or OBC. If the candidate belongs to SC, ST or OBC, his/her caste/tribe must be listed in the appropriate Govt. of India schedule. The caste Certificate should be in the Govt. approved format and should clearly state: (a) Name of his/her caste/tribe (b) Whether he/she belongs to SC/ST/OBC (c) District and the State or Union Territory of his/her ordinary residence and (d) the appropriate Govt. of India Schedule under which his/her caste/tribe is approved by it as SC, ST or OBC. Further, the OBC certificate should clearly mention that the candidate does not belong to creamy layer.</p> <p>The benefit of reservation under EWS can be availed upon production of an "Income and Asset Certificate" issued by a Competent Authority (an officer not below the Tehsildar in the States/UTs).</p> <p><b>Note:</b> Income covered all sources i.e. salary, agriculture, business, profession, etc. The Income and Asset Certificate issued by any one of the following authorities in the prescribed format shall only be accepted as proof of candidate's claim as belonging to EWS.</p> <ul style="list-style-type: none"> <li>• District Magistrate/Additional District Magistrate/ Collector/ Deputy Commissioner/Additional Deputy Commissioner/ 1st Class Stipendiary Magistrate/ Sub-Divisional Magistrate/ Taluka Magistrate! Executive Magistrate/ Extra Assistant Commissioner</li> <li>• Chief Presidency Magistrate/Additional Chief Presidency Magistrate/ Presidency Magistrate</li> <li>• Revenue Officer not below the rank of Tehsildar</li> <li>• Sub-Divisional Officer or the area where the candidate and/or his family normally resides.</li> </ul> <p>The Officer who issues the certificate would do the same after carefully verifying all relevant documents following due process as prescribed by the respective State/UT.</p>
	<p><b>II. APPLICATION FOR ADMISSION</b></p>
II.1	Ph.D. admission will be made only once a year and the session will begin from July every year.
II.2	A candidate may be admitted for the Ph. D. programme provided the result of the qualifying examination is declared on or before the date specified in the Ph.D Admission Bulletin and he/she fulfils the eligibility criteria laid down in these ordinances.
II.3	<p><b>Admission to the Ph.D. Programs will be made through the following modes-</b></p> <p><b>1. a) <u>Research Entrance Test (RET)-Exempted</u>:</b> the RET Exempted category shall mean the mode of admission without appearing in RET.</p> <p><b>b) <u>Research Entrance Test (RET)</u>:</b> the RET category shall mean the mode of admission based on score of RET.</p> <p>The details of the RET including mode of examination and exam conducting agency shall be decided before commencement of admission process.</p>
II.4	The candidates shall submit the duly filled in application form through on-line mode before the last date announced by the University.

<b>III. QUALIFYING EXAMINATIONS AND MARKS</b>	
III.1	<p>A candidate, seeking admission to the Ph. D. programme in a Faculty, shall be required to have</p> <p>(a) Passed the qualifying examination in concerned main/ allied discipline securing the minimum percentage of marks, as may be prescribed in the Ph.D. Admission Bulletin.</p> <p>(b) The scoring criterion to be used to assess the academic performance of applicant (if required) shall be decided before the commencement of the Ph.D. Admission process.</p>
III.2	<p>There shall be relaxation of 5% in minimum percentage of marks for OBC, SC &amp;ST and PwBD category candidates.</p> <p>However, a PwBD, SC/ST/OBC candidate shall not get the double benefit of being SC/ST/ OBC as well as PwBD candidate in the above relaxation.</p>
<b>IV. MODE OF ADMISSION</b>	
IV.	<p>A candidate who has passed the qualifying examination with requisite percentage of marks as prescribed in Clause III of these ordinances is eligible for admission to the Ph. D. programme through one of the following modes:</p> <p>(a) Admission through Research Entrance Test(RET):</p> <p>(i) A candidate qualified in RET as per the details given in Clause VII.1 (a) shall be considered for admission to the Ph. D. programme depending on the number of seats available in a department/school/Centre.</p> <p>(ii) The prospective number of seats available shall be notified in the Ph.D. Admission Bulletin. The number of candidates to be called for counselling will depend upon the number of seats in the department/school/centre.</p> <p>(b) Admission through Research Entrance Test(RET)-Exempted:</p> <p>A candidate who fulfils one of the following requirements may be considered for admission to the Ph.D. without appearing in the RET:</p> <p>i. A candidate who is qualified in a national level test as decided by the University before the commencement of admission process.</p> <p>ii. If any candidate comes up with a Nationally or Internationally renowned Ph.D. scholarship the value of which is at par or greater than UGC/CSIR JRF, then he/she shall be given Ph.D. admission under supernumerary quota.</p> <p>iii. A candidate who is selected under Quality Improvement Program (QIP) of AICTE, Faculty Development Programme of a State Government or of UGC.</p> <p>iv. A foreign national who is a recipient of fellowship by Indian Council for Cultural Relations (ICCR), Government of India and who is sponsored by his/her government.</p> <p>v. A self-financing foreign national is eligible to apply for Ph.D. programme. His/her application shall be routed through International Centre of BHU.</p>

**Note: Following additional criteria must be noted for a foreign national**

- a. The equivalence of the degree possessed by the foreign candidates belonging to the Clauses IV.1 (b) (iv) and (v) of the Ph.D. Ordinance, shall be settled by the equivalence committee of the concerned faculty before their admission. They shall be admitted to the Ph. D. programme only if the degree possessed by them entitles them for enrolment as Ph. D. scholars in the universities of their own countries.
  - b. Suitability of such candidates for admission to the Ph.D. programme including their English Proficiency shall be decided by a committee constituted by the Dean of the concerned Faculty.
  - c. The supernumerary quota for self-financing foreign nationals shall be restricted to a maximum of 15% of the total advertised vacancies in a Faculty in any academic year. The Dean of the Faculty shall decide the distribution of seats keeping in view the number of applications received for different departments
- vi. A candidate who is already registered as a Ph. D. scholar in some other university and whose supervisor joins this University.
- vii. A candidate occupying senior management position may be considered for admission with prior approval of the Vice Chancellor, subject to following conditions:
- he/she occupies senior management position in a government department/ public sector undertaking/ private industry (of repute and belonging to the top management of the firm), equivalent to pay matrix level -12 of 7<sup>th</sup> CPC Govt. of India, which is not recognized as centre of research by the University;
  - he/she has at least 12 years of professional experience and has minimum age of 43 years;
  - he/she must be sponsored as a part-time candidate by the said organization and the concerned DRC must be convinced that the candidate can effectively pursue his/ her Ph.D. work in his/her parent organization.
  - He/she shall fulfill the mandatory 45 days per academic year (1<sup>st</sup> July to 30<sup>th</sup> June) of the residency period (physical presence on the campus and in the department).
  - The candidate is required to submit a No Objection Certificate as well as work experience certificate from the parent organization in the prescribed proforma (Annexure G).
- viii. A candidate, working in an externally funded research project in the University as a research personnel, may be allowed to register for Ph.D. in the University provided he/she publishes at least one research paper in a journal of repute, to be defined by the DRC/SRC/CRC, after joining the project (out of the research work generated in the project) or he/ she gets short-listed in BHU-RET as per clause II.3(b). Provided further that

	<p>in such cases, the consent of the PI and the would be supervisor (in case PI is not the supervisor) is available to the effect that the research work of the Project and that of the Ph.D. are overlapping and/or working in both simultaneously will not hamper the interest of either. Provided further that not less than one year duration is left for the completion of the externally funded research project from the last date of application for the Ph.D. programme.</p> <p>The DRC/SRC/CRC shall lay down the criteria for defining ‘journal of repute’ for the concerned Department/School/Centre before the beginning of the Academic session.</p> <p><b>The candidate admitted to Ph.D. Programme under this clause shall not be eligible for BHU Research Fellowship. (This part of the clause shall become enforceable w.e.f. session 2024-25).</b></p> <p>ix. A permanent teacher of the University or of the constituent / affiliated colleges of the University holding substantive post (including those on probation)</p> <p>x. A non-teaching permanent employee of the University holding substantive post for not less than 5 years, having outstanding performance in service besides having good academic records and passed a test as may be prescribed in the Admission Bulletin, may be considered. Provided that the merit of the application shall be decided by the committee constituted for this purpose by University Admission Coordination Board (UACB)<sup>1</sup>.</p> <p>xi. Candidates holding P.G. degree (D.M/M.Ch./M.D./M.S./DNB) of Medical Science.</p> <p>The candidates of any or all the above categories except category (vi) may be required to appear in an examination/interview/any other evaluation process as decided by the competent authority. They must apply in the prescribed Application Form in order to be eligible for consideration for admission. <b>The applications of the candidates belonging to the categories (iii) to (x) above should be routed through proper channel. The candidates in category (vi) may be directly admitted, subject to the other provisions of this ordinance, without fulfilling the requirement of appearing in examination/interview/any other evaluation process.</b></p>
	<p><b>V. CLASSIFICATION OF THE CANDIDATES</b></p>
<p>V.</p>	<p>A candidate admitted to the Ph.D. programme in a Department/School/Centre shall be classified under any one of the following categories:</p> <p>(a) Full-time Research Scholars</p> <p>A candidate who has been admitted to the Ph.D. programme through RET or by direct admission based on the eligibility criteria mentioned in clauses IV.1 (b) (i) to (vi), (viii) and (xi) shall be referred to as a full-time research scholar.</p>

<sup>1</sup>Effective from session 2025-26

	<p>(b) Internal Part-time Research Scholar Candidate who has been admitted to the Ph.D. programme based on the eligibility criteria mentioned in Clauses IV.1(b) (ix) and (x) shall be referred to as an internal part-time research scholar.</p> <p><b>Note: A Teaching and Non-teaching employee who has been enrolled as Ph.D. Research Scholar as an Internal Part-time Candidate under Clauses IV.1(b) (ix) and (x) and who has joined the job in another organization after taking lien from BHU can continue his/her Ph.D. in BHU subject to the condition that he/she shall not resign his/her job in the University services before submission of his/her thesis.</b></p> <p>(c) External Part-time Research Scholar A candidate admitted to the Ph.D. programme as per Clause IV.1(b)(vii) shall be referred to as an external part-time research scholar.</p>																											
	<b>VI. RESEARCH COMMITTEES</b>																											
VI.1	<p>Subject to the general superintendence of the Academic Council, the following Committees shall deal with all matters connected with the Ph.D. programme of the University in accordance with these ordinances:</p> <p>a) The Research Degree Committee of the University (RDCU) b) The Departmental/School/Centre Research Committee (DRC/SRC/CRC) c) The Research Programme Committee (RPC)</p>																											
VI.2	<p>The RDCU shall consist of the following:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 5%;">i)</td> <td style="width: 75%;">Vice-Chancellor</td> <td style="width: 20%;">Chairman</td> </tr> <tr> <td>ii)</td> <td>Rector</td> <td>Member</td> </tr> <tr> <td>iii)</td> <td>Director of the Institute (in the case where the faculty is associated with an institute)</td> <td>Member</td> </tr> <tr> <td>iv)</td> <td>Dean of the faculty</td> <td>Member</td> </tr> <tr> <td>v)</td> <td>Heads of the Departments and Coordinators of the Schools/ Centres of the Institute/Faculty</td> <td>Members</td> </tr> <tr> <td>vi)</td> <td>Members of the concerned DRC/SRC/CRC</td> <td>Members</td> </tr> <tr> <td>vii)</td> <td>Supervisor and Co-Supervisor (if any) of the concerned Ph. D. scholar</td> <td>Member(s)</td> </tr> <tr> <td>viii)</td> <td>BHU Professors Emeritus/BHU Distinguished Professors/ Visiting Professors/ Honorary Professors/ Adjunct Faculty (if any) in the concerned Faculty</td> <td>Special Invitees</td> </tr> <tr> <td>ix)</td> <td>Registrar</td> <td>Secretary</td> </tr> </table> <p>In the case of Faculties consisting of a single department, at least two Heads of the Departments from sister faculties, as recommended by the Dean of the concerned faculty, shall also be the members of the RDCU.</p> <p>As the Secretary, the Registrar shall convene all the meetings of the RDCU.</p>	i)	Vice-Chancellor	Chairman	ii)	Rector	Member	iii)	Director of the Institute (in the case where the faculty is associated with an institute)	Member	iv)	Dean of the faculty	Member	v)	Heads of the Departments and Coordinators of the Schools/ Centres of the Institute/Faculty	Members	vi)	Members of the concerned DRC/SRC/CRC	Members	vii)	Supervisor and Co-Supervisor (if any) of the concerned Ph. D. scholar	Member(s)	viii)	BHU Professors Emeritus/BHU Distinguished Professors/ Visiting Professors/ Honorary Professors/ Adjunct Faculty (if any) in the concerned Faculty	Special Invitees	ix)	Registrar	Secretary
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VI.3	<p>The DRC/SRC/CRC shall consist of the following:</p> <ul style="list-style-type: none"> <li>i) Head of the Department/Coordinator of the School/ Centre Chairman</li> <li>ii) Professors<sup>2</sup>&amp; Research Scientists “C” of the Member Department/School/ Centre + All Professors of the concerned discipline of the MMV</li> <li>iii) One Associate Professor + One Assistant Professor of the Members Department/School/ Centre, according to seniority, by rotation every two years and senior most Research Scientists “B and A” of the Department / School/ Centre + a senior most faculty member (Associate Professor/Assistant Professor)of the concerned discipline of the MMV.</li> <li>iv) Supervisor and Co-Supervisor (if any) of the concerned Ph. Member (s) D. scholar</li> <li>v) BHU Professors Emeritus / BHU Distinguished Professors/ Special Visiting Professors/ Honorary Professors/ Adjunct Faculty (if any) in the concerned Department/ School/Centre Invitees</li> </ul>
VI.4	<p>The RPC shall consist of the following:</p> <ul style="list-style-type: none"> <li>i) Supervisor of the concerned Ph. D. Scholar Chairman</li> <li>ii) Co-supervisor (if any) of the concerned Ph. D. Member Scholar</li> <li>iii) A nominee of the Chairman of DRC/SRC/CRC Member</li> <li>iv) One expert in the field from the Member Department/School</li> <li>v) One or two experts from an outside Member(s) Department/School of the faculty</li> </ul> <p>Experts mentioned in Clauses VI.4 (iv) and (v) above shall be preferably nominated by the supervisor of the candidate and approved by the DRC/SRC/CRC <b>and he/she shall have experience of working in the broad area of the subject or related area of the candidate.</b></p> <p>In the case of faculties consisting of a single department, Clause VI.4 (v) shall not be applicable.</p>
VI.5	<p>A teacher who is not eligible to guide a Ph. D. scholar as per Clause IX.1 (l) or due to not satisfying the conditions laid down in Clause IX.1 (d) read with Clause IX.1 (k) or the one referred under Clause IX.3 (c) of these ordinances cannot become a member of any of the research committees mentioned above.</p>
VI.6	<p>The DRC/SRC/CRC and RPC shall not make any recommendation that is not in conformity with these ordinances and/or such other directives as may be issued by the RDCU and/or the Academic Council in regard to the Ph. D. programme from time to time.</p>

<sup>2</sup>At all places in these Ordinances the term Professor shall mean to include Senior Professor.

## VII. Admission

VII.1 **Eligibility for RET:**

(a) A candidate possessing the minimum qualifications with the requisite percentage of marks and academic record as prescribed in Clauses III.1 and 2 of these ordinances shall be eligible to appear in the RET.

(b) If a candidate is an applicant and also eligible for admission to Ph. D. programme in a faculty different from the one in which he/she has obtained the qualifying degree, he/she shall appear in the RET conducted by the faculty corresponding to the subject in which the candidate possesses the qualifying degree.

VII.2 **1. Admission Criteria:**

Apart from the direct admission mode under clauses IV.1. (iv), (v) and (vi), there are two modes of getting Ph.D. admission *viz.* RET-Exempted and RET. The admissions under the two modes shall be based on the merit of the candidate in the examination/interview/any other mode of evaluation as decided by the University before the commencement of the admission process. The details related to examination/interview/any other mode of evaluation as decided shall be provided in Ph.D. Admission Bulletin.

**2. Interview:** In case interview forms part of the examination process,

(i) the maximum marks for interview, the calling procedure and the procedure to prepare merit list shall be provided in the Ph.D. Admission Bulletin.

1. The Interview Committee will evaluate candidate's research ability as per the different domains of thrust area of the department.
2. For Faculties of Visual Arts and Performing Arts where practical knowledge and expertise of the applicant is crucial to decide his/her suitability as Ph.D. scholar, there shall be a qualifying practical examination as decided by the concerned Faculty and duly approved by the UACB before the commencement of Ph.D. admission process and the candidates who qualify in the practical examination shall be allowed to appear in the interview.

VII.3 **Interview Committee**

1. Interview shall be conducted by a committee consisting of the following members:

i)	Dean of the faculty or his/her Nominee	Chairman
ii)	Director of the Institute (in the case where the faculty is associated with an institute) or his/her Nominee	Member
iii)	One Professor of the Faculty (to be nominated by the Dean) from outside the department/School/Centre.	Member
iv)	Two senior most members of the DRC/SRC/CRC of the Department/School/Centre excluding the Head/Coordinator	Member(s)
v)	The Head/Coordinator of the	Member

		Department/School/Centre	
	vi)	One OBC teacher of the Department/School/Centre be associated as a member	Member
	vii)	One SC/ST teacher of the Department/School/Centre be associated as a member	Member
	<p><u>Note:</u></p> <ul style="list-style-type: none"> <li>• In case where the same person has more than one capacity, he/she shall be performing the role higher in the order and other position(s) shall not be part of the committee.</li> <li>• In the case of faculties consisting of a single department, one Professor from the faculty/department having close proximity shall also be the member of the above interview committee.</li> <li>• The Chairman of the committee will be the Dean, if he/she is personally present. In the absence of the Dean, the committee will be chaired by the Head/Coordinator of the concerned Department/School/Centre.</li> </ul> <p>2. The Interview Committee will ask questions relating to the area in which the candidate expects to undertake research, if selected, to test his/her scholastic competence for research in the discipline.</p> <p>3. A candidate applying in more than one disciplines, will appear before the Interview Committee separately in each of the disciplines in which he/she has applied and qualified. The dates for Interview may be suitably adjusted/staggered in different disciplines.</p>		
VII.4	<b>Admission, Payment of Fees and Allotment of Ph.D. Supervisor</b>		
	<p>a) The number of seats under the two modes of admission to the Ph.D. programme shall be announced in the Ph.D. Admission Bulletin.</p> <p>b) Based on the final merit order, offer of admission will be issued to the candidates by the Head of the Department/Coordinator of the School/Centre prescribing therein the last date of depositing the fee. The Head of Department/Coordinator of the School/Centre will also provide a list of teachers together with their research areas to the RET and RET-exempt selected candidates and advise them to interact with potential Supervisors, and, if possible, to also secure their consent.</p> <p>c) The process of admission completes only when a selected candidate has deposited the prescribed fees for Ph.D. registration.</p> <p>d) After the payment of fees, the candidate shall submit the prescribed registration form <b>(Annexure A)</b> to the Head of the Department/Coordinator of the School/Centre.</p> <p>e) Thereafter, the allotment of Supervisor will be done by the DRC/SRC/CRC for those who have secured admission. As far as practicable, the DRC/SRC/CRC shall take into account the mutual agreement of the candidate and the faculty member. The DRC/SRC/CRC shall ensure fair distribution of candidates to the</p>		

	<p>faculty members who have offered their seats to be advertised.</p> <p>f) The Head of the Department/Coordinator of the School/ Centre shall send a complete list of the admitted candidates along with the necessary details to the Registrar (Academic) with a copy to the Dean of the Faculty within a week from the date of admission.</p> <p>g) The records of the merit list of the qualified candidates along with their application forms shall be maintained in the Department/School/Centre.</p> <p>h) No full-time Ph. D. scholar shall accept any paid assignment during the period of research apart from Research Fellowships, Research Assistantships, Senior Resident ship/Service Senior Resident ship/externally funded research project assignments etc. provided it is not detrimental to his/her research programme as determined by the DRC/SRC/CRC.</p> <p>i) A Ph. D. scholar shall not be permitted to join any other degree course. However, he/she may be permitted to join part-time Diploma or Certificate Course(s) by the DRC/SRC/CRC on the recommendation of the RPC provided it is not detrimental to his/her research programme.</p> <p>j) A candidate already possessing a Ph. D. degree of this or any other University shall be eligible to be admitted to the Ph. D. programme for an additional Ph. D. degree in a subject other than the subject in which he/she already possesses the Ph. D. degree. The admission of such a candidate will be at the discretion of the Vice-Chancellor, who, on the basis of specific recommendation and full justification by the DRC/SRC/CRC after considering relevant criteria such as, the synopsis of the proposed topic, relevance of the proposed topic and its relationship with the topic of the first Ph.D., etc. will take a final decision.</p> <p><b>Note:</b> The non-observance of clauses (h) and (i) may lead to cancellation of registration of the candidate after conducting enquiry at the level of Department/School/Centre. Provided that an opportunity of hearing shall be given to the candidate before recommending cancellation of registration.</p>
<b>VIII. TIME PERIOD REQUIREMENTS</b>	
VIII.1	<p>Minimum period of research work required for the submission of thesis</p> <p>(a) The minimum period of work required for submitting the thesis for the full-time candidates admitted to the Ph. D. programme except those admitted under Clause IV.1 (b) (vi) shall be <b>three</b> years from the date of his/her admission, i.e., <b>the date of joining the Ph.D. programme/reporting as decided by the University.</b><sup>3</sup></p> <p>Provided that for the candidates admitted under Clause IV.1 (b) (vi), the minimum period of research work before the submission of the thesis shall be one year from the date of his/her joining this university, or three years taking into account the period spent earlier in the previous institution, whichever is more.</p>

<sup>3</sup>W.e.f 2024-25 session

	<p>(b) The minimum period of research work before submitting the thesis for all part-time candidates, except those admitted under provisions of clause IV.1(b).(vii) admitted to the Ph. D. programme in any faculty shall be three years.</p> <p>(c) The minimum period of research work before submitting the thesis for part-time candidates admitted under provisions of clause IV.1(b)(vii) in any faculty shall be four years.</p> <p><b>NOTE: The aforesaid residence period shall be calculated from the date of joining the Ph.D. programme/reporting as decided by the University.<sup>4</sup></b></p>
VIII.2	<p><b>Maximum Period for the Submission of Thesis</b></p> <p>a. If a candidate fails to submit the thesis at the end of the stipulated period as prescribed in Clause VIII.1, the Head of the Department/Coordinator of the School/Centre, with the recommendation of the RPC and the DRC/SRC/CRC, may grant an extension of up to <b>two years (one year at a time)</b> for the submission of the thesis.</p> <p>b. If a candidate fails to submit the thesis within the extended period as stipulated in Clause VIII.2 (a) due to cogent reasons, he/she may be given additional <b>one year</b> of extension for submitting the thesis, by the Dean of the faculty, on the recommendation of the RPC and the DRC/SRC/CRC, such that the total period for the submission of the thesis counted from the date of his/her admission does not exceed six years. For the candidates admitted under Clause IV.1 (b) (vi), this period of six years is counted taking into account the period spent in the previous institution also.</p> <p>c. No further extension shall be granted. Provided that an additional two (02) years may be given through the process of re-registration described in clause (d).</p> <p>d. Under extraordinary circumstances, the RDCU may allow re-registration of the candidate for a period of up to two years for the submission of the thesis, for which the candidate shall apply in the prescribed proforma <b>(Annexure B)</b> giving the reasons due to which he/she was not able to submit the thesis, details of the work completed and the details of articles published in journals of repute, to be defined by the DRC/SRC/CRC<sup>5</sup> in past five years and his/her application is duly forwarded by RPC and recommended by the concerned DRC/SRC/CRC on the basis of a re-registration presentation made before the concerned DRC/SRC/CRC. The presentation shall include the progress made by the candidate on the research work and the justification for re-registration. DRC/SRC/CRC may recommend re-registration for either one year or two years and accordingly the candidate shall be required to deposit the regular fee and additional re-registration fees as prescribed. The candidate must obtain the hostel and library clearance and there shall be no outstanding fee and no pendency in submitting six monthly progress report at the time of consideration of his/her application for re-registration.</p>

<sup>4</sup>W.e.f. 2024-25 session

<sup>5</sup>The DRC/SRC/CRC shall lay down the criteria for defining 'journal of repute' for the concerned Department/School/Centre before the beginning of the Academic session.

VIII.3	<p><b>Residency Period</b></p> <p>a. A Ph. D. Scholar shall be required to be present in the University for a prescribed period, which is known as the Residency Period.</p> <p>b. For the candidates of all categories except for the external part-time research scholars, the residency period shall be the same as the period a research scholar takes for the submission of the thesis without exceeding the total time frame provided in these ordinances.</p> <p>c. For an external part-time research scholar [those admitted under provisions of clause IV.1(b)(vii)], 45 days per academic year (1<sup>st</sup> July to 30<sup>th</sup> June) of residency period (physical presence on the campus and in the department) shall be mandatory for the candidates seeking admission under the aforesaid clause during the minimum time period for submission of thesis. Such candidates would be required to deliver two open seminars before the DRC/SRC/CRC to evidence the progress of research made by them. Further, depending on the performance, the concerned DRC/SRC/CRC may require the candidate to deliver more than two open seminars.</p> <p>d. If a full time research scholar is appointed as a permanent employee (Teaching/Non-teaching) of this university then his/her candidature may be changed from full time research scholar to an internal part-time research scholar [admitted under provisions of clause IV.1(b).(ix) &amp; (x)] scholar with minimum residence period of 3 years subject to the condition that a candidate has to apply for no objection certificate from his/her employer and it will be routed through DRC/SRC/CRC.</p>
VIII.4	<p>The DRC/SRC/CRC may recommend a full-time Ph.D. scholar to pursue a part of his/her research work at a place outside the University. The Dean of the concerned Faculty may approve such recommendations of DRC which are for a period of six months or less. However, if such recommendations are for a period beyond six months, the recommendations of the DRC would be placed before the RDCU, which may permit a full-time Ph. D. scholar to pursue a part of his/her research work at a place outside the University without exceeding the maximum time limit for the submission of the thesis laid down in these ordinances.</p>
<p><b>IX. SUPERVISORS AND CO-SUPERVISORS</b></p>	
IX.1	<p><b>Appointment of Supervisors and Co-Supervisors:</b></p> <p>a. Every candidate shall be assigned a Research Supervisor, by the DRC/SRC/CRC of the concerned Department/ School/Centre in the manner laid at Clause VII.4. of these Ordinances. The DRC/SRC/CRC may, if necessary, assign a Co-Supervisor, in the same manner.</p> <p>b. The external part-time research scholar shall normally have one supervisor from the University (Internal) and another from the parent organization (External), where the candidate will be carrying out the research work (such candidate will submit a certificate from the External Supervisor to the effect that the candidate did work under the external supervisor during the period). If there is a need, there can be an internal co-supervisor and an external co-supervisor, from the university and the sponsoring organization respectively. The internal and external supervisors/co-supervisors shall consult each other in all matters pertaining to the</p>

progress of the work of the candidate.

- c. In case a candidate is permitted to do a part of his/her research work at a place outside the University for a period of one semester or more but not exceeding three semesters in continuation or in parts, the person who will be looking after the research work of the candidate at the outside organization shall be appointed as an external supervisor by the concerned DRC/SRC/CRC.
- d. Professor/ Associate Professor (including those on probation) on regular position in the University with Ph.D. Degree and at least **five** research publications journals of repute, to be defined by the DRC/SRC/CRC<sup>6</sup>, and Assistant Professor (including those on probation) on regular position in the University with Ph.D. Degree and at least **three** research publications in such journals may be recognized as Research Supervisor.  
Provided that in areas/discipline where there is no or only a limited number of such journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- e. Scientists/Scientific Officers/Research Officers / Research Fellows, who are appointed for a period of not less than 5 years under research projects in the University and who hold Ph. D. degree shall be eligible to guide Ph. D. scholars as co-supervisors. The case of teachers of the University on regular position *viz*, Assistant Professor, Associate Professor and Professor who are appointed against the above posts and hold lien on their substantive posts and are otherwise qualified to guide Ph. D. scholars shall be governed by Clause IX.3. (a).
- f. If a teacher, on regular position in the University, working in a department different from his/her specialization wishes to guide a candidate in the subject in which the teacher holds the Ph. D. degree, he/she may be appointed as the supervisor/co-supervisor of the candidate. However, the candidate shall be admitted only in the main department corresponding to the subject of the research of the candidate, in accordance with the normal procedures laid down for admission in Clause VII.
- g. BHU Professors Emeritus/ BHU Distinguished Professor/ UGC Scientists/Fellows appointed by the University or any national organization, shall be eligible to guide Ph. D. scholars, provided their tenure of appointment left in the University as emeritus professor/scientist/fellows is for a period not less than three years at the time of enrolment of research scholar(s).
- h. A faculty member on retirement may continue to be the supervisor, if he/she is reemployed or appointed as BHU Professor Emeritus/ BHU Distinguished Professor/ UGC Scientist/ Fellow on retirement.
- i. A faculty member who has less than three years of services before the retirement shall not be allowed to enrol a research scholar but may be allowed to continue to be the supervisor of already registered candidates even after his/her retirement, but not after attaining the age of 70 years, provided the DRC/SRC/CRC is convinced of his/her availability for

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<sup>6</sup> For the purpose of this clause, the DRC/SRC/CRC shall lay down the criteria for defining 'journal of repute' for the concerned Department/School/Centre before the beginning of the Academic session.

	<p>continued guidance to the candidate.</p> <p>j. A teacher who has resigned from the University service shall cease to be the supervisor, and a new supervisor shall be appointed in his place, however, if a co-supervisor is already appointed and the research scholar has fulfilled the minimum period requirement for the submission of the thesis as per Clause VIII.1., the same co-supervisor may be appointed as supervisor by the DRC/SRC/CRC according to clause IX.</p> <p>k. Notwithstanding Clause IX.1 (d), a faculty member on regular position/retired faculty member or an Emeritus Professor/Scientist/Fellow employed in a department/school who does not possess a Ph. D. degree may be allowed to become a supervisor/co-supervisor on the recommendation of the DRC/SRC/CRC, provided he/she is engaged in research for at least five years as evidenced by publications in reputed journals.</p> <p>l. The teachers of the University, who are enrolled as Ph. D. scholars in the University or in any other Institute/University, shall not be entitled to guide a Ph. D. scholar. Those, appointed as supervisors, would cease to be supervisors if they get enrolled for Ph. D.</p> <p>m. In cases where the supervisor of the candidate is appointed in a sister department of the University, and if an Internal Adjunct Faculty who has enrolled candidate(s) in the host department of the University (where he/she is appointed as internal adjunct faculty) is relieved from his position as internal adjunct faculty and now engaged only in his main department, he shall continue to be the supervisor of the candidate who shall work with him in his main department. The attendance of such candidates shall be recorder by the supervisor and communicated to the host department/centre. For administrative purposes including fellowship etc., the Head of the host Department/Centre shall act as administrative supervisor<sup>7</sup>.</p> <p>n. A teacher from outside the University may be assigned as a Co-supervisor by the DRC/SRC/CRC of the concerned Department/School/Centre as per requirement subject to approval of the Vice-Chancellor.</p>
IX.2	<p><b>Quota of Ph. D. Scholars</b></p> <p>a. The maximum number of full time candidates who can be supervised by a faculty member at any time shall be as follows:</p> <p style="padding-left: 40px;"><b>by a Professor - 8,</b>  <b>by an Associate Professor - 6,</b>  <b>by an Assistant Professor - 4,</b></p> <p>b. In case a co-supervisor is also appointed, a full time candidate shall be counted towards the quota of both the supervisor and the co-supervisor in equal levels.</p> <p>c. All full time research scholars registered for Ph. D. shall be counted within the quota till they submit their theses.</p> <p>d. A faculty member can supervise a maximum number of two external/internal part time candidates at any time and it shall not be</p>

<sup>7</sup>There shall be no academic credit for the Head in the capacity of Administrative Supervisor. He shall not sign the thesis of any candidate in his capacity as administrative supervisor.

	<p>counted towards the quota provided at (a) above.</p> <p>e. An Internal Adjunct Faculty can enrol a maximum number of two candidates in the host department of the University (where he/she is appointed as internal adjunct faculty) at any time and such enrolment shall be counted towards the quota provided at (a) above.</p> <p>f. The quota prescribed to BHU Professor Emeritus and Distinguished Professor for the registration of Ph. D. students shall be same as that allotted to a Professor.</p> <p>g. The teachers of the University shall also be permitted to guide Ph. D. Scholars admitted in other Universities/Institutes <b>of national importance</b>, provided that</p> <p>(i) there is a vacancy with the guide under whom the candidate intends to work</p> <p>(ii) the sponsoring university/institute seeks prior permission in the matter and</p> <p>(iii) the concerned DRC/SRC/CRC approves it.</p> <p>h. If a research personal engaged in an externally funded research project is enrolled for the Ph.D. programme with his/her Principal Investigator/Co-Principal Investigator as the supervisor/co-supervisor, it will not be counted in the quota of the concerned faculty member provided that the research done under the externally funded research project is the subject matter of his/her Ph.D. programme and additional infrastructure and funding is not sought by the faculty member for the purpose. In all other cases where the research personal is enrolled under any faculty member other than his/her Principal Investigator/Co-Principal Investigator, the candidate shall be counted in the quota of the concerned faculty member.</p>
IX.3	<p><b>Appointment of a New Supervisor:</b></p> <p>(a) If the supervisor of a candidate proceeds on leave/lien/deputation for a period of more than 11 months, or he/she proceeds on leave for a period of less than 11 months, but later extends his/her leave beyond 11 months, then a co-supervisor shall be appointed. However, if the candidate submits the abstract of the thesis or the thesis itself before the supervisor proceeds on leave, then no co-supervisor shall be required.</p> <p>Further provided that if the Supervisor of a candidate proceeds on lien/deputation to another institution for a period of more than 11 months, the DRC/SRC/CRC may permit a candidate to complete his/her research work under the same supervisor in that institution provided consents of the supervisor, candidate and the host institution is available and the DRC/SRC/CRC is convinced that the facilities available in the host institution are adequate for successful completion of research work.</p> <p>Provided further that in all other cases where the supervisor proceeds on leave for a period of more than 3 months but less than 11 months, the Head of the Department/Centre shall act in the capacity of Administrative Supervisor<sup>8</sup> for all the issues including scholarship etc.</p> <p>(b) If the supervisor of a candidate expires or is terminated from the University</p>

<sup>8</sup>There shall be no academic credit for the Head in the capacity of Administrative Supervisor. He shall not sign the thesis of any candidate in his capacity as administrative supervisor.

	<p>service, he/she shall cease to be the supervisor.</p> <p>(c) If the supervisor of a candidate is placed under suspension, <b>he/she shall cease to be the supervisor during the entire period of his/her suspension.</b></p> <p>(d) If a teacher, except for the one governed by the Clauses IX.1 (h) and (i), retires/resigns from the University service, he/she shall cease to be the supervisor.</p> <p>(e) In all the cases covered in clauses (b), (c) and (d), where the existing supervisor of a candidate ceases to be the supervisor, the DRC/SRC/CRC shall appoint the co-supervisor, if any, as the supervisor of the candidate, provided the co-supervisor is from the same discipline. If there is no co-supervisor for the concerned candidate or the co-supervisor is from a different discipline, then the DRC/SRC/CRC shall appoint a new supervisor.</p> <p>(f) If a candidate, for cogent reasons, intends to change his/her supervisor, it shall be permitted by the DRC/SRC/CRC by the mutual consent of present supervisor, proposed supervisor and the candidate. However, in case the present supervisor refuses to give consent, the DRC/SRC/CRS shall enquire into the reasons of such refusal and decide the issue of change of supervisor with reasons to be recorded in writing. The final decision in such matters shall be of the Vice-Chancellor.</p> <p>Further, in such cases, the candidate shall not be allowed to submit his/her thesis within 12 months of the date of change of supervisor. Provided that in those cases where there is a co-supervisor appointed before the date of change of supervisor, the candidate may be allowed to submit his/her thesis after 6 months of the date of change of supervisor.</p> <p>(g) In all cases of change of supervisor, the candidate shall not be allowed to submit his/her thesis within 12 months of the date of change of supervisor. Provided that in those cases where there is a co-supervisor appointed before the date of change of supervisor, the candidate may be allowed to submit his/her thesis after 6 months of the date of change of supervisor.</p> <p>Provided further that in those cases where the maximum period for submission of thesis is likely to expire within the above-mentioned periods, then notwithstanding anything contained in these Ordinances, the maximum period for submission of thesis shall be extended accordingly by the DRC/SRC/CRC and candidate may be allowed to submit thesis within such extended time. The information about the extension shall be sent by the concerned DRC/SRC/CRC to the Registrar (Academic).</p>
<b>X. COURSE WORK</b>	
X.1	<p>A candidate admitted to the Ph.D. programme in any of the faculties, shall be required to undertake course work of 16 credits for a minimum period of two semesters and shall have to qualify as per the criteria prescribed by the concerned faculty. The course work shall be completed (including declaration of result) in first four semesters from the date of deposit of fees. The candidate shall pursue the courses as advised and approved by the concerned RPC and approved by DRC/SRC/CRC. Such courses may be from the same Faculty where the scholar is enrolled or from other Faculties depending upon the nature of research area.</p>

X.2	The first semester of the Ph.D. Course Work will have four courses/papers. The departments/centres will have the autonomy to decide the courses, credits for individual courses, mode of examination and evaluation. It however must be kept in mind that the four papers should not include research methodology or seminar etc. The papers should include regular papers of 3 credits each with at least 39 hours of teaching.
X.3	The second semester should ideally include courses related to practical work, dissertation, seminar presentation, book review etc.
X.4	The details of the courses, course credits and other related matters, which are not provided in these Ordinances, shall be determined in accordance with the ordinances of the concerned Faculties. However, there would not be any attendance requirement in Course Work for the research scholars registered on part-time basis thereby meaning that course work for them would be on self-learning mode.
X.5	The courses offered for the Ph. D. programme may be lecture, laboratory, design and self-study courses, mini projects and seminars. Each of these courses shall be of post-graduate or doctoral level.
X.6	After completing the course work, the candidate shall appear in a comprehensive examination. However, there will be no grading system in course work. The pass mark for each course work component, requiring writing of an examination, would be <b>50%</b> and the research scholar meeting the above criteria would be declared to have successfully completed the course work component.
X.7	Such course work components, which are experiential, the satisfactory completion would be determined by the RPC through oral presentation by the scholar. The research scholar would be declared to have successfully completed such course work component on the recommendation of the RPC.
X.8	The system of giving course work credit to a teacher registered for Ph.D., as a part-time candidate, and credit transfer for candidates working in outside institutions shall be governed as follows:
X.8.1	The system of giving course work credit to a teacher and non-teaching employee registered for Ph.D. as a part-time candidate, and credit transfer for candidates working in outside institutions shall be governed as follows:
X.8.2	Such teachers and non – teaching employees (registered as a part-time research scholar) shall have to appear in the examination and qualify by securing 50% of marks.
X.8.3	If a research scholar has already cleared a course component, suggested to him/her as part of the course work, from any other institution during two years preceding the year of Ph.D. registration in BHU, credit of clearing the said course work component may be given, for the purposes of satisfactory completion of course work component assigned to him/her, on the recommendation of RPC and DRC.
X.9	Keeping in view that foreign scholars come from different educational background and environment, they shall not be insisted to write examinations and rather their assessment of having adequate knowledge in the course work components could be only based on three open seminars to be delivered by them before the DRC, which would make appropriate recommendations on the subject.

## XI. PERFORMANCE EVALUATION

XI.1	<p>Progress Report:</p> <p>(a) The DRC/SRC/CRC and RPC shall monitor the academic/research progress of each candidate. For this purpose, the candidate shall submit a progress report on a prescribed proforma in triplicate, at the end of each semester <b>(the date of joining the Ph.D. programme/reporting as decided by the University<sup>9</sup>.)</b> to the RPC through his/her supervisor and co-supervisor, if any. The candidate shall also submit copy of the latest fee receipt along with the progress report.</p> <p>(b) The candidate shall make presentation on the progress of his/her research work through a seminar and the RPC shall evaluate the progress made by the candidate and submit its recommendations to the concerned DRC/SRC/CRC.</p> <p>(c) The Chairman of the DRC/SRC/CRC shall forward the progress report with specific recommendations to the Registrar (Academic) with a copy to the Dean.</p> <p>(d) Progress of a candidate in any semester shall be deemed to be unsatisfactory if the candidate is absent for a period more than that specified in Clause XII.</p> <p>(e) The candidate shall submit progress reports for each semester till the submission of the thesis. The last progress report of the candidate shall be submitted to Registrar (Academic), in the manner prescribed, within three days of submission of thesis.</p> <p>(f) A candidate, who is belonging to Clause IV.1 (b) (vi) and has been admitted to the Ph. D. programme, shall submit a certificate from the Head of the Department of earlier institution for the period for which he/she has done the research work in that institution. He/she shall also submit a detailed report of the work he/she has carried out in the earlier institution certified by his/her former Head. However, for the remaining period of research in this University, he/she shall submit progress reports at the end of every semester till the submission of the thesis.</p>
XI.2	<p>Research Plan Proposal</p> <p>(a) At the end of the second semester the candidate shall submit to the RPC, a research plan proposal generally consisting of preamble, definition of the problem, objective of work, approaches to be adopted, in about 8 to 10 pages along with his/her second progress report. The proposal shall also indicate the topic of the research, although not necessarily the precise title of the thesis.</p> <p>(b) The RPC and the DRC/SRC/CRC shall examine the research plan proposal of the candidate and the candidate shall deliver a detailed seminar called "Research Plan Proposal Seminar" before the RPC and the DRC/SRC/CRC.</p> <p>(c) The RPC and the DRC/SRC/CRC, if satisfied with the research proposal and the seminar, shall approve the proposal and the topic of research, and shall forward its recommendation along with the second progress report of the candidate to the Registrar (Academic) with a copy to the Dean.</p>

<sup>9</sup>W.e.f. session 2024-25

	<p>(d) If the RPC and the DRC/SRC/CRC are not satisfied with the quality of the research plan proposal, the candidate shall submit a fresh proposal and deliver the seminar within a time limit specified by the DRC/SRC/CRC (not exceeding three months in any case), and a fresh evaluation shall be done. But, if only the seminar is unsatisfactory, the candidate shall deliver only the seminar again within one month.</p> <p>(e) If the candidate fails to submit the research plan proposal at the end of the second semester or the research plan proposal and/or the research plan proposal seminar of the candidate is/are not approved by the DRC/SRC/CRC even after complying with Clause XI.2 (d), the candidate's admission shall stand cancelled.</p>
<b>XII. LEAVE AND ATTENDANCE</b>	
XII.1	<p><b>Leave Rules</b></p> <p>(a) A Ph. D. scholar shall be eligible to avail a leave of 30 days in an academic year. He/she shall not be entitled for any inter-semester breaks, winter and summer vacations. However, he/she is entitled for an additional leave of up to 10 days on medical grounds in an academic year. The aforesaid leave provisions are cumulative. Further, male/female candidates shall be eligible for paternity leave (15 days)/maternity/child care leave (240 days) as per Government of India rules once during their entire tenure as research scholars.</p> <p>(b) The leave shall be granted by the Head of the Department/Coordinator of the School/Centre on the recommendation of the supervisor/co-supervisor.</p>
XII.2	<p><b>Attendance Requirements</b></p> <p>(a) A candidate is required to sign on all working days of the faculty in an attendance register to be kept in the concerned Department/School/Centre, except when he/she is on duty/sanctioned leave as per the Clause XII.1 (a).</p> <p>(b) A candidate, who is pursuing course work as a part of his/her Ph. D. programme, is expected to have full (100%) attendance in each course. However, a maximum of 30% attendance may be condoned by the Dean of the concerned faculty for cogent reasons as per university rules.</p>
XII.3	<p>The attendance requirements and leave rules shall be applicable to the external part-time research scholars also during their stay in the University. However, such scholars shall have to complete the minimum duration of stay in the University excluding the days of leave (if any).</p>
<b>XIII. SUBMISSION OF THE THESIS</b>	
XIII.1	<p><b>Pre-submission Seminar</b></p> <p>(a) On completion of the research work for the Ph. D. by a candidate, the supervisor shall organize a pre-submission seminar, in consultation with the Head of the Department/Coordinator of the School/Centre, to apprise the teachers and other research workers of the Department/School/Centre of the candidate's work and receive suggestions for improvement of the research work. The RPC and the DRC/SRC/CRC shall assess the work of the candidate through this seminar. If the RPC or the DRC/SRC/CRC is not satisfied either with the presentation or with the quality of the work of</p>

	<p>the candidate, then the candidate shall do further work and deliver a fresh seminar after a definite period specified by the DRC/SRC/CRC.</p> <p>(b) The exact title of the thesis shall be as approved by the DRC/SRC/CRC after the pre-submission seminar.</p> <p>(c) If the DRC/SRC/CRC is satisfied with the pre-submission seminar of the candidate, the Chairman of the DRC/SRC/CRC shall forward the application of the candidate for submission of the thesis along with a certificate about the satisfactory completion of the pre-submission seminar (<i>Annexure – E</i>) and the exact title of the thesis to the Registrar (Academic) with a copy to the Dean. Any extended residency period left beyond the date on which the thesis is forwarded for submission, as aforesaid, shall be deemed to have been terminated on the said date.</p>
XIII.2	<p><b>Submission of the Thesis</b></p> <p>(a) The candidate is required to submit the thesis within six months from the date of his/her pre-submission seminar (without exceeding the maximum time limit for the submission of the thesis as laid down in Clause VIII.2), failing which he/she shall be required to deliver a fresh pre-submission seminar.</p> <p>(b) The candidate shall submit the thesis to the Registrar (Academic) duly forwarded by the Head of the Department/Coordinator of the School/Centre. While submitting the thesis, the candidate shall submit the following:</p> <ol style="list-style-type: none"> <li>i) Three hard copies and one soft copy (in a CD, duly authenticated, (PDF format only), of the abstract of the thesis written in about 600 words describing the salient features of his/her investigation.</li> <li>ii) Three hard copies and one soft copy (in a CD) (PDF format only), of the thesis in Hindi or English or any other language approved by the concerned DRC/SRC/CRC as per the format given in <i>Annexure – F</i>.</li> <li>iii) A declaration by the candidate as per the format given in <i>Annexure – D</i>.</li> <li>iv) A course/comprehensive examination/pre-submission seminar completion certificate, wherever applicable, by the Head of the Department/ Coordinator of the School/Centre as per the format given in <i>Annexure – E</i>.</li> <li>v) A copyright transfer certificate as per the format given in <i>Annexure – H</i>.</li> <li>vi) A Plagiarism certificate as detailed in clause XVI (1) Unfair Means And Plagiarism</li> </ol> <p>(c) The candidate may submit the copies of the <u>abstract (in hard and soft copies (PDF format only) (CD)</u> to the Office of the Registrar (Academic) one month before the submission of the thesis in order to expedite the process of evaluation.</p> <p>(d) The candidate shall be required to have communicated/published in journals of repute, to be defined by the DRC/SRC/CRC<sup>10</sup>, at least two research papers based on his/her research work before submitting the thesis. The reprints/proofs/pre-prints of the papers shall be attached at the end of the thesis. The evidence for submission/acceptance of the papers shall be submitted to the Office of the Registrar (Academic) at the</p>

<sup>10</sup>The DRC/SRC/CRC shall lay down the criteria for defining 'journal of repute' for the concerned Department/School/Centre before the beginning of the Academic session.

	<p>time of submission of the thesis.</p> <p>(e) The candidate shall also submit one soft copy each of the thesis and the abstract to the supervisor, co-supervisor, an external supervisor and an external co-supervisor, as the case may be.</p> <p>(f) No part of the thesis shall have been submitted for the award of any other degree or diploma of any university.</p> <p>(g) The thesis shall contain a copyright certificate at the beginning of the thesis on a separate page.</p> <p>h) A thesis once submitted cannot be re-submitted except when the examiner recommends for the revision of the thesis.</p> <p>(i) <u>The Academic Section shall send the <b>hard and soft copies of thesis/abstract</b> to the office of the Controller of Examinations within two working days after the submission for evaluation.</u></p>
<b>XIV. EXAMINATION</b>	
XIV.1	<p><b>Panel of Examiners</b></p> <p>The Supervisor shall propose a panel of examiners at least Six experts from 100 NIRF ranking universities/ institutions/ national research laboratories/ Expert holding National / International repute, the supervisor may also be allowed to propose the examiners/subject expert(s) who have got national and international recognitions other than top 100 NIRF ranking universities/institutions/national research laboratories in the area of the Ph. D. thesis for consideration of DRC/SRC/CRC immediately after the pre-submission seminar of the candidate. However, the supervisor shall have the liberty to propose a panel of three foreign examiners, out of six, if he considers appropriate.</p> <p>Provided that, under exceptional circumstances, in case of non availability of subject experts from 100 NIRF ranking universities/ institutions/ national research laboratories/ Expert holding National / International repute; it may be relaxed by the University on the recommendations of the concerned DRC/SRC/CRC.</p>
XIV.2	<p><b>Board of Examiners</b></p> <p>(i) The Board of Examiners shall consist of two examiners.</p> <p>(ii) The panel of Examiners duly approved by the DRC/SRC/CRC shall be sent by the Chairman of DRC/SRC/CRC to the Dean of the concerned Faculty, immediately after panel is approved by DRC/SRC/CRC, for the appointment of the Board of Examiners from the panel with a copy endorsed to the Office of the Registrar (Academic) for records.</p> <p>(iii) The Dean of the concerned Faculty shall communicate to the Controller of Examinations two names of external examiners to function as Primary Board of Examiner, out of the panel approved by DRC/SRC/CRC, within three days of its receipt for evaluation of thesis. He shall also send another two names of examiners, out of the same panel, as supplementary Board of Examiner, which will be used in the event of denial of examinership/ no response from the examiners in the Primary Board of Examiner. A copy of said communication shall also be endorsed to the Registrar (Academic) for records.</p>
XIV.3	<p>The Controller of Examinations shall get in touch with each examiner over e-mail with a soft copy of the abstract to secure acceptance of the examinership</p>

	<p>apart from communicating through post. The said exercise shall be undertaken by the Controller of Examinations within three days of receipt of names of appointed examiners from the Dean of the concerned Faculty. However, in case, the soft copy of the abstract/<b>thesis</b> is received from the <b>student</b> subsequent to the receipt of names in the Board of Examiners from the Dean, the said exercise shall be completed within three working days of receipt of soft copy of abstract. <b>The examiner's consent via email may be accepted and thesis may be forwarded for the evaluation.</b></p> <p>If no information is received from an examiner within 15 days period from first communication to the examiner, a reminder shall be issued. If, however, no information is received from an examiner within 30/ 15 days period from first communication to the examiner, his/her appointment shall be cancelled and a new examiner shall be appointed from the panel of names in the Secondary Board of Examiners. In case the Secondary Board of Examiner is exhausted, a new examiner shall be appointed from the panel of names in accordance with the Clause XIV.2.</p>
XIV.4	<p><b>Thesis Evaluation</b></p> <p>(a) The Controller of Examinations shall forward the <b>soft</b> copy of the thesis (<b>PDF Format</b>) to the Examiners within three days of receipt of their consent (via email) and take necessary action to get the report of the examiner expeditiously. However, in case the <b>soft copy (PDF Format) of Thesis</b> is received from the <b>Student</b> subsequent to the receipt of consent, the said exercise shall be completed within three days of receipt of Thesis.</p> <p>(b) The examiners shall be requested to submit their individual reports <b>preferably within a month time.</b></p> <p>(c) In case, an examiner does not send his/her report within the above period, a reminder shall be sent to him/her over e-mail. This shall be followed by a subsequent reminder after a fortnight.</p> <p>(d) If the examiner(s) fails to submit the report within 30 days after the second reminder as per clause (c) it may be presumed that the examiner(s) is/are not interested in evaluating the thesis his/her examinership shall be cancelled and a new examiner shall be appointed from the panel of names in the Secondary Board of Examiners. In case the Secondary Board of Examiner is exhausted, a new examiner shall be appointed from the panel of names in accordance with the Clause XIV.2.</p> <p>(e) The examiners shall examine the thesis specifically with a view to judge whether the thesis is a piece of research work characterized by:</p> <ul style="list-style-type: none"> <li>(i) substantial contribution to the subject,</li> <li>(ii) a fresh approach towards interpretation of facts or theories, or</li> <li>(iii) evidence of creativity and originality.</li> </ul> <p>(f) The examiner shall be required to give his/her opinion about candidate's ability for critical examination and sound judgment.</p> <p>Note: the criteria for evaluation mentioned in clauses (e) and (f) shall be sent to the examiner along with the thesis.</p>
XIV.5	<p><b>Examiner's Report</b></p> <p>(a) The examiners shall submit the duly signed and scanned report <b>through soft / hard copy</b> on a prescribed form as given in <i>Annexure – I (1)</i>, and</p>

	<p>shall make one of the following recommendations:</p> <ul style="list-style-type: none"> <li>i) The thesis be accepted for the award of the Ph. D. degree</li> <li>ii) The thesis be accepted for the award of the Ph. D. degree subject to the candidate giving satisfactory answers, at the time of Viva-Voce, to the specific queries raised in the report.</li> <li>iii) The thesis, in the present form, cannot be accepted for the award of the Ph. D. degree, and the candidate be advised to revise the thesis on certain issues raised in the report and resubmit the thesis. In the case of resubmission, the examiner shall specifically mention whether the thesis must be referred back to him/her for re-evaluation or not.</li> <li>iv) The thesis be rejected.</li> </ul> <p>Note: The above list of possible recommendations shall be sent to the examiner along with the thesis.</p> <ul style="list-style-type: none"> <li>(b) If both the examiners recommend acceptance of the thesis for the award of the Ph. D. degree, the thesis shall be accepted.</li> <li>(c) If both the examiners recommend rejection of the thesis for the award of the Ph. D. degree, the thesis shall be rejected.</li> <li>(d) If the examiner(s) raise some queries/seek clarifications, the candidate shall be required to give satisfactory answers to the queries at the time of Viva-Voce.</li> <li>(e) If one or both the examiners recommend revision of the thesis, the candidate shall resubmit the thesis after revision. If a specific examiner asks for the revised thesis to be referred back to him/her, it shall be sent to him/her. Otherwise the revised thesis shall be assessed by the DRC/SRC/CRC for satisfactory compliance of the desired revision.</li> <li>(f) If the revised thesis is to be referred back to an examiner, the examiner shall submit his/her report on a prescribed form as given in <i>Annexure – I(2)</i>. The examiner shall recommend the revised thesis to be either accepted or rejected. Then depending on the recommendation of the other examiner, an appropriate action shall be taken as per Clauses XIV.5 (c), (d), (e), (f) or (h).</li> <li>(g) If one examiner recommends rejection of the thesis and the other recommends acceptance, then a third examiner shall be appointed as per Clause XIV.2 from the panel of already approved examiners. In such cases, Clause XIV. 5 (i) shall apply.</li> <li>(h) The third examiner, if appointed, shall be an Indian or a foreign expert, depending on whether the thesis was rejected by an Indian or a foreign examiner in the first instance. Once the third examiner is appointed, any previous recommendations of the examiner, in whose place the third examiner has been appointed, shall become null and void for all purposes.</li> <li>(i) In case the third examiner recommends acceptance or revision of the thesis or asks for clarifications, then depending on the recommendation of the other examiner (who has not rejected the thesis) an action appropriate to the case mentioned in Clauses XIV.5 (c), (e) or (f) shall be taken.</li> <li>(j) In case the third examiner recommends the rejection of the thesis, his/her recommendation shall be final and the thesis shall be rejected.</li> </ul>
XIV.6	<p>In the case of any ambiguous recommendations by any examiner, the Controller of Examinations shall approach the examiner concerned for a clear recommendation. If a clear recommendation is not forthcoming the matter</p>

	shall be referred to the Vice-Chancellor for his/her decision.
XIV.7	After the reports from both the examiners are received, the Controller of Examinations shall inform the supervisor and co-supervisor (if any) for appropriate action.
XIV.8	<p>Viva-Voce</p> <p>(a) If the thesis has been accepted for the award of the degree, the candidate shall be required to defend his/her thesis in a Viva-Voce which can also be conducted through video conferencing using conventional internet tools, e.g., Skype, Google Duo, WhatsApp, etc. Physical presence of examiner is not required, before a duly constituted committee hereinafter referred to as Viva-Voce Committee (VVC). The date, time and venue of the Viva-Voce with other necessary details shall be adequately notified so as to enable other faculty members and students to attend it.</p> <p>(b) The supervisor and the co-supervisor (if any), shall arrange for the Viva-Voce of the candidate as early as possible and normally within <b>a month</b> from the date of communication to them from the Controller of Examinations for conducting the Viva-Voce.</p> <p>(c) The VVC shall consist of the supervisor and the co-supervisor (if any), the Indian examiner who has evaluated the thesis and a nominee of the concerned DRC/SRC/CRC. The supervisor shall be the chairman of the VVC.</p> <p>(d) In the case of external candidates, the external supervisor and co-supervisor, if any, may be invited for the Viva-Voce as examiner(s).</p> <p>(e) In the case of non-availability of the Indian Examiner for conducting the Viva-Voce, the Vice-Chancellor may appoint another examiner.</p> <p>(f) In the case of inability of the Supervisor or Co-Supervisor to conduct the Viva-Voce due to any reason, the Head of the Department shall arrange to conduct the Viva-Voce. In such cases, the Ph. D. work shall be deemed to have been carried out under the guidance of the original Supervisor/Co-Supervisor only.</p> <p>(g) The VVC shall be provided with the reports of all the examiners before the Viva-Voce.</p> <p>(h) The VVC shall submit a comprehensive report on the performance of the candidate at the Viva-Voce, including the discussions over various points raised. The VVC shall recommend one of the following:</p> <ol style="list-style-type: none"> <li>i) that the degree be awarded,</li> <li>ii) that the candidate be re-examined in a second Viva-Voce,</li> <li>iii) that the degree be not awarded and the thesis be rejected.</li> </ol> <p>(i) If the VVC recommends that the degree be awarded, the candidate shall submit two hardbound copies of the thesis incorporating corrections, if any, (along with 2 corresponding soft copy versions of the thesis in CDs), one for keeping in the Sayaji Rao Gaekwad library of the University and the other for the departmental library. The spare copies of the thesis may be returned to the candidate.</p> <p>(j) If the VVC recommends for a second Viva-Voce, it shall be conducted normally after a period of three months but within six months from the date</p>

	<p>of the first Viva-Voce.</p> <p>(k) If a candidate, after the submission of the thesis, has gone abroad (other than SAARC countries) and is not likely to return in near future and the reports of Examiners' on the thesis are unanimous without any major critical comments or corrections, the Viva-Voce Examination shall be conducted via Video-Conferencing.</p> <p>(l) The Chairman of the DRC will certify the Viva Voce examination report where the Viva Voce Examinations has been conducted through video conferencing at clause (a).</p>
XIV.9	<p>Review of Examiners' Report in case the Thesis is Rejected</p> <p>The Vice-Chancellor shall have the power to constitute an independent three-member review panel to investigate the cause of rejection of thesis. The review panel shall examine the research work and the examiners' report thereon to submit their findings to the Vice Chancellor for future improvements. It may also examine the matter to suggest as to whether the research scholar can further work on the same topic to rebuild his/her initial work and the correct course of action for achieving that and time frame needed for resubmission of his/her thesis without exceeding the total time period prescribed for submission of thesis in these Ordinances.</p>
XIV.10	<p>Award of the Degree</p> <p>(a) The reports of all the unanimous recommendations of the examiners and the Viva-Voce examination received from the Office of the Controller of Examinations shall be placed before the Vice-Chancellor by the Office of the Registrar (Academic) for administrative approval as the Chairman of the RDCU in the last week of every month.</p> <p>(b) In the case of any ambiguity/difference in the examiners recommendations, the same may be referred to the RDCU for its specific decision. The year of award of the Ph. D. degree shall be the same as the year of submission of the thesis, if the thesis is accepted without revision. If the thesis is recommended for revision, the year of award of the Ph. D. degree shall be the year of submission of revised thesis.</p> <p>(c) The Ph. D. degree certificate shall mention the title of the thesis, the discipline/subject of his/her Postgraduate – discipline/subject of Ph.D. of his/her research and the Department/ School/Centre in which the candidate was admitted for the Ph. D. programme.</p> <p>(d) After the approval of the thesis for the award of the degree, the abstract shall be published in the "Abstracts of Accepted Theses for the Ph. D. /Vidyāvāridhi Degree" of the Banaras Hindu University.</p> <p>(e) Once a thesis has been approved for the award of the Ph. D. degree, the candidate shall, in case of publication of the thesis in full or in part, state on the title page that it was a thesis approved for the award of the Ph.D./Vidyāvāridhi degree of the Banaras Hindu University, or based upon that thesis.</p>
	<b>XV. FEES PAYABLE</b>
XV.1	<p>Fees payable by a candidate admitted to the Ph. D. programme shall be as prescribed by the University from time to time. The present fee structure for all categories of the candidates is given in <i>Annexure – I(3)</i></p>

XV.2	Full fee, as applicable, shall be payable by the Ph. D. scholars for all the periods for which extension is granted.
XV.6	Fees once paid to the University shall not be refunded.
<b>XVI. UNFAIR MEANS AND PLAGIARISM</b>	
XVI.1	Plagiarism check is to be done before the submission of the thesis for evaluation by the Departmental Anti-Plagiarism Committee (DAPC) headed by the Head/Coordinator of the Department/ School/Centre, Supervisor, Co-Supervisor, One Senior most Professor, One Associate Professor, One Assistant Professor. The Head/Coordinator may also co-opt the members, if needed. The DAPC would issue a provisional certificate to this effect, to the concerned student which should invariably mention that the thesis has been run through the UGC-INFLBNET prescribed plagiarism software test and its contents are within the permissible limit. A copy of detailed report to the above effect is to be submitted to the R.O. (Academic) at the time of submission, for record.
XVI.2	All the cases of unfair means and plagiarism would be dealt as per University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Education Institutions) Regulations, 2018, duly approved by the Academic Council Resolution No.18 dated 04.11.2020 and Executive Council Resolution No.240 dated 17.11.2020.
<b>XVII. FINANCIAL ASSISTANCE</b>	
XVII.1	Subject to the availability of fellowships, the Ph. D. candidates, who are admitted as full-time research scholars through RET would be entitled to BHU Research fellowship of such amount as may be decided by the Institute/Faculty/University and/or directed by the UGC/MHRD/Government of India from time to time. This award shall be strictly subject to the fulfilment of all the conditions governing such awards.
XVII.2	In addition to the BHU Research Fellowship, such candidates may also be entitled for contingency grant in accordance with the decisions of the University.
XVII.3	The maximum duration for which the BHU Research Fellowship can be awarded to any Ph.D. student is <b>Three + One years</b> . The award shall be on year-to-year basis subject to the satisfactory academic and research performance and satisfactory performance in the discharge of responsibilities assigned to him/her under the scheme.
<b>XVIII. CANCELLATION OF ADMISSION</b>	
XVIII.1	The admission of a Ph. D. candidate shall be cancelled by the DRC/SRC/CRC in any one of the following eventualities (except in the eventuality listed at para v.): i. If the candidate is absent for a continuous period of four weeks without prior information/sanction of leave. ii. If the candidate fails to renew his/her registration in any semester or he/she is not permitted for re-registration after completion of six years subject to the provisions contained in these ordinances. iii. If two consecutive progress reports of the candidate are unsatisfactory or not submitted.

	<ul style="list-style-type: none"> <li>iv. If the candidate’s research plan proposal/research plan proposal seminar is/are not approved even after the submission of revised proposal/revised seminar.</li> <li>v. If the candidate does not join the department on or before the completion of the temporary withdrawal period.</li> <li>vi. If the candidate fails to submit the thesis within the maximum stipulated time as provided in these ordinances.</li> <li>vii. If the candidate resigns from the Ph. D. programme and the resignation is duly recommended by the Supervisor.</li> <li>viii. If the candidate is found involved in an act of misconduct and/or indiscipline and his/her termination has been recommended by the competent authority.</li> </ul> <p>Provided that action of cancellation of Ph.D. registration of a candidate [in the eventualities listed at para (i) –(v) above] may not be taken by the DRC/SRC/CRC without serving a show cause notice on the erring scholar and without giving him/her a hearing in person.</p>
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**XIX. TEMPORARY WITHDRAWAL FROM THE PROGRAMME**

<p>XIX.1</p>	<p>A candidate admitted to the Ph.D. programme may be permitted for temporary withdrawal only after completion of both, his/her course work and the minimum residential period. He/she may be permitted by the Dean of the Faculty, on the recommendation of the RPC and the DRC/SRC/CRC to temporarily withdraw from the programme on specific reasons, and later allowed to join back to complete the research work. Such candidates are allowed to submit their thesis after two days of joining from withdrawal. The maximum period of withdrawal during the entire period of Ph.D. programme shall not exceed two years which may be taken in a maximum of two spells.</p> <p><b>The temporary withdrawal is permissible within maximum prescribed period for submission of thesis i.e. six years from the date of joining the Ph.D. programme/reporting as decided by the University<sup>11</sup>.</b></p> <p>The temporary withdrawal may be granted for whole or part of the maximum duration of withdrawal i.e. two year’s period. The temporary withdrawal may be permitted on any one of the following reasons:</p> <ul style="list-style-type: none"> <li>i. If the candidate is suffering due to prolonged illness, supported by medical certificates.</li> <li>ii. On the event of illness/death of candidate’s parents/guardians/spouse.</li> <li>iii. If the candidate gets a professional employment</li> <li>iv. Any other event in which the Vice-Chancellor is convinced that the situation faced by the research scholar warrants his/her temporary withdrawal from the programme without exceeding the maximum time-limit provided in these Ordinances for submission of thesis.</li> </ul> <p>Provided that in a situation where the candidate has been allowed temporary withdrawal on the ground of his getting professional employment in any government department, the Vice-Chancellor may</p>
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<sup>11</sup>W.e.f. session 2024-25

	grant an extension of 3 months (within the permissible maximum prescribed period for submission of thesis) in the maximum permissible duration of temporary withdrawal.
XIX.2	During the period of withdrawal the candidate will not be required to pay any fee. However, fee already paid (temporary withdrawal involving part of a semester) shall not be refunded.
XIX.3	If during the period of temporary withdrawal, the extension of residency period of the candidate is likely to expire, the DRC/SRC/CRC shall, while granting the temporary withdrawal, ensure that the extension of required period of residency is also approved.
<b>XX. CONCLUSION</b>	
XX.1	Notwithstanding anything contained in these Ordinances all categories of the candidates shall be governed by the rules and procedures framed by the Academic Council, and are in force at that point of time.
XX.2	From the date when these Ordinances come into operation all previous Ordinances on the subject shall cease to have effect. Provided that this revocation shall not affect the previous Ordinances so revoked or anything done or suffered under any previous Ordinances so revoked or affect any right, privilege, obligation or liability acquired, arrived or incurred under any Ordinances so revoked.
XX.3	Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Vice-Chancellor, whose decision, in his capacity as the Chairman, Academic Council, shall be final. The Vice-Chancellor has been authorized to, (a) modify, amend and/or delete any of the clauses given in the Ordinances or add any clause(s) to these Ordinances, which shall be reported to the Academic Council at its next meeting for approval. (b) order a special procedure for the evaluation of a Ph. D. thesis to protect the work of classified nature involving national security and sovereignty and/or to protect the intellectual property rights of the candidate, the supervisor and the University. (c) Relax any of the provisions laid in these Ordinances for handling any special circumstances.

ANNEXURE A  
(See clause VII.4.(d))

BANARAS HINDU UNIVERSITY  
काशी हिन्दू विश्वविद्यालय

रोलनम्बर  
Roll No.



PASTE A  
PASSPORT SIZE  
SELF-ATTESTED  
PHOTOGRAPH  
OF CURRENT  
YEAR

पी०एच०डी०/विद्यावारिधि में प्रवेश हेतु पंजीकरण पत्र  
REGISTRATION FORM FOR ADMISSION TO Ph. D./ VIDYÄVÄRIDHI

1. आवेदक का नाम श्री/श्रीमती / कु० .....  
Applicant's Name (In CAPITAL Letters) Sri/Smt./Km.
2. पिता का नाम/Father's Name .....
3. माता का नाम/Mother's Name .....
4. जन्मतिथि / Date of Birth तिथि/Date  माह/Month  वर्ष/ Year
5. अपनी जाति वर्ग का उल्लेख करें/ indicate your caste category  
अनु० जाति/SC  अनु० जनजाति/ST  अ०पि०वर्ग/OBC  अन्य / Others
6. प्रवेश कैटेगरी/ Admission Category .....  
(अनारक्षित unreserved/अन्य पिछड़ा वर्ग OBC/अनुसूचित जाति SC/ अनुसूचित जनजाति ST/ आर्थिक पिछड़ा वर्ग EWS/ शारीरिक विकलांग PwBD)
7. पीएच०डी० प्रवेश का विषय /Subject of Ph.D. Admission : .....
8. पीएच०डी० प्रवेश का माध्यम /Mode of Ph.D. Admission :  
रेट/RET  रेट मुक्त/RET-EXEMPTED   
अन्य (उल्लेख करें)/ Other (Specify) .....

9. राष्ट्रीयता (यदि विदेशी नागरिक है, तो देश का नाम लिखें)/ Nationality (If foreign national, write name of the country .....

10. शारीरिक विकलांग की स्थिति (हाँ/नहीं लिखे) /Physically Challenged (write Yes or No)

हाँ/ Yes

नहीं/No

शारीरिक विकलांग की स्थिति में विकलांगता के प्रकार का उल्लेख करें/ In case of Physically Challenged Indicate type of disability)

अस्थि/Ortho

दृष्टि/Visual

वधिर/Hearing

11. पत्राचार के लिए स्थानीय पता / Correspondence for Local Address .....

..... दूरभाष / Phone No.....

स्थायी पता/Permanent Address .....

..... दूरभाष / Phone No.....

12. शैक्षणिक विवरण / Academic Record

उत्तीर्ण परीक्षाएँ Examinations Passed	परिषद/विश्वविद्यालय Board/University	उत्तीर्ण करने का वर्ष Year of Passing	श्रेणी Division	प्राप्तांक प्रतिशत Percentage/CGPA	विषय Subjects
हाईस्कूल/समकक्ष High School Equivalent					
इण्टरमीडिएट/ समकक्ष Intermediate/Equivalent					
स्नातक Graduate					
स्नातकोत्तर Post-Graduation					
अन्य Any Other					

पीएच०डी० प्रवेश की अर्हता परीक्षा का विवरण /Details of qualifying examination of Ph.D. admission

उत्तीर्ण परीक्षा Examinations Passed	परिषद /विश्वविद्यालय Board/University	उत्तीर्ण करने का वर्ष Year of Passing	श्रेणी Division	प्राप्तांक प्रतिशत Percentage/CGPA	विषय Subjects

अद्यतन नेट/जेआरएफ परीक्षा का विवरण ( यदि उत्तीर्ण हो)/ Detail of latest NET/JRF examination (if passed)					
उत्तीर्ण परीक्षा Examinations Passed	परीक्षा एजेसी Board/University	उत्तीर्ण करने का दिनांक	वैधता अवधि Division		विषय Subjects
			कब से	कब तक	

टिप्पणी: हाईस्कूल से लेकर सभी उत्तीर्ण परीक्षाओं, के अंक-पत्रों/ प्रमाण पत्रों की प्रमाणित छायाप्रतियाँ आवेदन पत्र के साथ संलग्न करें। यदि आप RET Exempted (Others) क्रेट एकजमपटेड (अन्य) वर्ग के हैं तो कृपया संबंधित प्रमाणपत्र की छायाप्रति संलग्न करें

Note: Attested photocopies of the mark-sheets of all examinations passed beginning from High School and also the attested photocopies of the concerned certificate claiming the CRET exempted (others) category must be attached with this application.

13. विश्वविद्यालय व महाविद्यालय का नाम जहाँ आवेदक अन्तिम बार पढ़ा /पढ़ी हो। Name of the University and the College last Attended by the applicant.

.....

.....

14. क्या आप वर्तमान में किसी अन्य पाठ्यक्रम में अध्ययनरत है?

Are you pursuing any course currently?

हाँ/yes

नहीं/ No

यदि हाँ तो उसका विवरण दीजिये / If YES, give details of the course.

Name of course	Name of institution	Year of admission	Status of course

15. क्या इसके पूर्व/वर्तमान में शोध के लिए पंजीकृत हुये थे/है?

Whether previously/Currently registered in any of the Ph.D. Programme in BHU or in any other

University.

हाँ/yes

नहीं/ No

यदि हाँ तो निम्नलिखित विवरण लिखें। if Yes provide following details

विषय / विभाग Discipline/Subject	संस्था का नाम Name of institution	पंजीकरण की तिथि/ वर्ष & date of registration Year	पंजीयन निरस्तीकरण/ उपाधि प्राप्ति की तिथि/ वर्ष Date/year of cancellation/Award

निरस्तीकरण/ उपाधि प्राप्ति का प्रमाण संलग्न करें/ enclosed a copy of cancellation/Award letter.

16. क्या आप लाभ के पद पर कहीं कार्यरत हैं?

Are you working on a post of monetary benefit anywhere?

हाँ/yes

नहीं/ No

यदि हाँ तो निम्नलिखित विवरण लिखें। if Yes provide following details

संस्था का नाम Name of institution	पद नाम Name of post	क्या प्रवेश पूर्व पद छोड़ चुके हैं (हाँ/नहीं) Is resigned before admission?#

# If you are registered as regular student then you cannot hold any post.

17. क्या आपके विरुद्धक भी कोई अनुशासनिक कार्यवाई की गई है? यदि हाँ तो कारण, प्राप्त दण्ड एवं दण्ड देने वाले अधिकारी का उल्लेख करें। Whether any disciplinary action has been taken against you? If so, state reasons, the punishment awarded and reference of authority awarding the punishment .....

19. यदि आप अधिकृत आवेदक / बी०एच०यू० अध्यापक / गैर-शिक्षण कर्मचारी है तो संस्था / विभाग का नाम लिखें जहाँ कार्यरत है/Name of the Institution/Department where presently employed (in case of sponsored candidates/BHU Teacher BHU Non-Teaching staff.)

### अभ्यर्थी द्वारा घोषणा DECLARATION BY THE CANDIDATE

मैं निष्ठापूर्वक सत्यापित करता/करती हूँ कि मुझे कभी अनुशासनहीनता, परीक्षाओं में अनुचित साधनों के प्रयोग अथवा अन्य किसी प्रकार के आरोप के लिए दण्डित नहीं किया गया है।

मैं पुनः निष्ठा पूर्वक सत्यापित करता / करती हूँ कि इस आवेदन पत्र में मेरे द्वारा प्रस्तुत प्रपत्रों की छायाप्रति सही है तथा मैंने कोई भी प्रासंगिक सूचना छिपायी नहीं है। मैं, यह भी घोषणा करता/ करती हूँ कि यदि कभी भी मेरे द्वारा दी गयी सूचनाएँ अथवा प्रतिज्ञापत्र असत्य पाई जायें तो-

- मेरा पंजीकरण बिना किसी सूचना के अविलम्ब निरस्त किया जाय,

- मुझे विश्वविद्यालय अथवा अन्य किसी श्रोत से पी-एच०डी० /विद्यावारिधि पाठ्यक्रम के अन्तर्गत प्राप्त छात्रवृत्ति/ आर्थिक सहायता वापस ली जाय,

- भविष्य में मुझे किसी भी शैक्षणिक पाठ्यक्रम में प्रवेश लेने अथवा इस विश्वविद्यालय में रोजगार प्राप्त करने से बिना किसी पूर्व सूचना के वंचित किया जा सकता है अथवा यदि रोजगार प्राप्त हो तो बिना किसी सूचना के बर्खास्त किया जा सकता है। मैं यह भी निष्ठापूर्वक सत्यापित करता/ करती हूँ कि बी०एच०यू० अध्यादेशों के अन्तर्गत में किसी अन्य पूर्णकालीन शैक्षणिक पाठ्यक्रम में इस अथवा अन्य किसी विश्वविद्यालय में साथ-साथ अध्ययनरत नहीं रहूंगा/ रहूंगी। यदि ऐसा पाया गया तो मुझे उपरोक्त कृत्यों केलिये दंडित किया जा सकता है।

निम्नलिखित में जो लागू न हो उसे x कर दें और जो लागू हो, सामने के बाक्स में √ का निशान लगायें-

मैंने स्थानान्तरण एवं प्रवजन प्रमाणपत्र जमा कर दिया है।

मैंने स्थानान्तरण एवं प्रवजन प्रमाणपत्र जमा नहीं किया है, लेकिन प्रवेश के उपरान्त उक्त प्रमाणपत्र 3 माह के अन्दर जमा कर दूंगा।

I do solemnly affirm that I have not been punished for any act of indiscipline nor I have adopted any unfair means in any examination nor involved myself in any other offense whatsoever.

I further solemnly affirm that information furnished by me in this application form are true; and that the certificates and the Photostat copies of the documents I have submitted, are genuine and that I have not concealed any relevant information.

I further affirm that if at any stage hereafter it is found that the information and the undertaking furnished by me were not true then:-

- my registration be immediately cancelled without any notice. that I shall be liable to refund the scholarship/any financial aid received from the University/any other source during my Ph.D./C'akravarty programme.

- that I be debarred from future admission in any academic course and employment at this University and if already employed I be dismissed without any notice.

Strike out the clause not applicable and put a tick mark in the appropriate box-

I have submitted the Transfer and Migration certificates.

I have not submitted the Transfer and Migration certificates, but will submit the same within 3 months, if admitted.

I also solemnly affirm that as per the BHU Ordinance, I shall not concurrently pursue any other full time academic course either at this or any other University. If found doing so I shall be liable to the aforesaid actions and punishments.

दिनांक/ Date: .....हस्ताक्षर / Signature

अंग्रेजी / English.....

स्थान/Place: .....

हिन्दी/Hindi.....

### FOR OFFICE USE ONLY / केवल विभाग द्वारा भरा जाय

पंजीकरण हेतु संस्तुति  
Recommendation for Registration

संस्तुत  
Recommended

असंस्तुत  
Not Recommended

विभागीय शोध समिति के सदस्यों के हस्ताक्षर / Signatures of the DRC Members:

- 1) ..... 2) ..... 3) .....  
4) ..... 5) ..... 6) .....

The Head of the Department/Coordinator of the School shall send this form along with a complete list of the admitted candidates and necessary details to the Registrar (Academic) with a copy to the Dean of the faculty within a week from the date of admission.

(as per clause VII. 12) of the New Ph. D. Ordinances.

सम्बन्धित विभागीय शोध समिति द्वारा संस्तुति

**Recommendation of the DRC of concerned Department**

नियुक्त पर्यवेक्षक :

SUPERVISOR ALLOTTED:

नाम : .....

Name : .....

पद : .....

Designation: .....

विभाग: .....

Department : .....

नियुक्त सह-पर्यवेक्षक (यदि कोई हो) :

CO-SUPERVISOR ALLOTTED:

नाम : .....

Name : .....

पद : .....

Designation: .....

विभाग: .....

Department : .....

वाह्य पर्यवेक्षक :

External Supervisor

(वाह्य शोध छात्र/ छात्रा के लिये)

(In case of External Candidates)

नाम : .....

Name : .....

पद : .....

Designation: .....

विभाग: .....

Department : .....

संस्था : .....

Institution : .....

Note:

External Research Scholars are required to enclose 'No objection' certificate from the employer and the letter of consent from the external supervisor. / वाह्य शोध छात्र/छात्रा को अपने नियोक्ता से अनापत्ति प्रमाण-पत्र तथा वाह्य पर्यवेक्षक से 'सहमतिपत्र' संलग्न करना आवश्यक है।

शोध क्षेत्र :

Area of Research: .....

.....

.....

दिनांक/ Date:.....

स्थान / Place:.....

CHAIRMAN DRC AND  
HEAD OF THE DEPARTMENT

(OFFICE SEAL)

**ANNEXURE – B**  
**Application Proforma for Re-registration in Ph.D. Programme**  
**(See Clause VIII.2.(d))**

1. Name of the Ph.D. Scholar .....
2. Department .....
3. Name of Supervisor & Co-supervisor .....
4. Number of research scholars under supervisor .....  
(including supernumerary)
5. Title of Ph.D. work as per Synopsis .....
6. Term of Admission & Date of submission of .....  
fees
7. Start Date of counting Ph.D. Registration .....  
duration
8. Duration of first withdrawal (if any) .....
9. Duration of Second withdrawal (if any) .....
10. Number of chapters in proposed thesis .....  
Reason for Re-registration
  - (a) Work of all chapters completed but .....  
compilation and submission of the final  
thesis is left
  - (b) Work of ..... chapter(s) along .....  
with compilation and submission of the  
final thesis are left
  - (c) Any other reason (Specify) .....
11. Reason for delay in the completion of work .....
12. Number of papers communicated but not .....  
published or accepted for publication
13. Number of papers published or accepted for .....  
publication in peer reviewed journals

- 14. Number of seminar/conferences/workshops attended .....
  - 15. Number of papers presented in conferences/seminars .....
  - 16. Number of six-monthly progress report pending .....
  - 17. Number of semesters for which fee is pending .....
  - 18. Any other pending dues .....
  - 19. Requested Duration for Re-registration: .....
- From (date) To (date)  
 .....

**Declaration by the student:**

I have completed ..... part of my research work and have submitted and presented the same to RPC. I know and agree that if I am unable to submit my thesis in the duration of re-registration period then I will not be allowed to submit my thesis.

(Signature of Research Scholar)

**Declaration by the supervisor:**

I have examined chapters/work submitted by student and certify that it will be submitted during the period of re-registration requested.

(Signature of Supervisor)

**Specific Comment of RPC:**

**Declaration by DRC:**

DRC reviewed the work of candidate in consultation with Supervisor and recommend that re-registration of ..... in the Ph.D. programme may be granted for the period of ....., from ..... to .....

(Chairperson, DRC)

ANNEXURE – C  
(See Clause XI.2 (b–d))

**FORMAT FOR THE PRESENTATION AND APPROVAL OF RESEARCH PLAN PROPOSAL**

This is to certify .....

(a) that Sri/Ms ....., a bonafide research scholar of this department/school/centre, has given a detailed seminar on his Research Plan Proposal before the RPC and DRC/SRC/CRC as detailed below:

Topic: .....

Date/Time: .....

(b) that his/her Research Plan Proposal has been examined in view of academic merit and that the RPC and DRC/SRC/CRC is satisfied/not-satisfied by the content and quality of Proposal,

(c) that his/her presentation was excellent/good/satisfactory/not-satisfactory and that he/she was able/unable to defend the proposal and answer he proposal related questions,

(d) that he/she is allowed/not-allowed to submit the Research Plan Proposal.

In case of unsatisfactory Proposal/presentation following suggestions are given by the RPC and DRC/SRC/CRC:

Date: (Signature of Head of Department

Place: /Coordinator of School/Centre)

Signature of RPC Members

Signature of DRC/SRC/CRC Members

ANNEXURE – D  
(See Clause XIII.2 (b) (iii))

**CANDIDATE’S DECLARATION**

I, ..... , certify that the work embodied in this Ph. D. thesis is my own bonafide work carried out by me under the supervision of ..... and the co-supervision of ..... for a period of ..... from ..... to ..... at Banaras Hindu University and ..... The matter embodied in this Ph. D. thesis has not been submitted for the award of any other degree/diploma.  
(Name of the Institution where work has been carried out partly or fully)

I declare that I have faithfully acknowledged, given credit to and referred to the research workers wherever their works have been cited in the text and the body of the thesis. I further certify that I have not willfully lifted up some other’s work, para, text, data, results, etc. reported in the journals, books, magazines, reports, dissertations, theses, etc., or available at web-sites and included them in this Ph. D. thesis and cited as my own work.

Date: .....

(Signature of the candidate)

candidate)

Place : Varanasi

(Name of the

---

Certificate from the Supervisor/Co-supervisor

This is to certify that the above statement made by the candidate is correct to the best of my/our knowledge.

.....  
(External Co-supervisor’s signature,

.....  
(External Supervisor’s signature, Name & Designation)  
Name & Designation)

.....  
(Co-supervisor’s signature,  
Designation)

.....  
(Supervisor’s signature, Name &  
Name & Designation)

*(Signature of the HOD/Coordinator of the School with seal)*

*ANNEXURE – E*  
(See Clause XIII.1 (c) and XIII.2 (b) (iv))

**COURSE/COMPREHENSIVE EXAMINATION/PRE-SUBMISSION SEMINAR  
COMPLETION CERTIFICATE**

This is to certify–

- (a) that Sri/Ms ....., a bonafide research scholar of this department/school/centre, has satisfactorily completed the Ph. D. course work and has been successful in comprehensive examination,
- (b) That his/her open Ph. D. thesis Pre-Submission seminar on (topic)..... was held on (date)..... in the department/school/centre from (time).
- (c) that the DRC/SRC/CRC is satisfied/not-satisfied with the quality of the work of candidate,
- (d) that the candidate described the thesis work satisfactorily/unsatisfactorily and answered the questions related with the basics understanding of the subject and thesis work satisfactorily/unsatisfactorily,
- (e) that the DRC/SRC/CRC gave following suggestions for the improvement of quality of work/performance of pre-submission seminar:

Date:

(Signature of Head of Department

Place:

Coordinator of School/Centre)

Signature of DRC/SRC/CRC Members

## ANNEXURE –F

(See Clause XIII.2 (b) (ii))

### FORMAT OF THE Ph. D. THESIS

The following format may be normally adopted for the Ph. D. thesis:

1. Cover page
2. Inner cover page
3. Undertaking from the candidate
4. Self declaration certificate from the candidate and Certificate from the Supervisor/Co-Supervisor/Head of the Department (*Annexure – D*)
5. Certificate for the completion of course work/comprehensive examination in cases where the course work is a part of Ph. D. programme (*Annexure – E*)
6. Certificate for the successful completion of the pre-submission seminar (*Annexure – E*)
7. A copyright transfer certificate (*Annexure – F*)
8. Acknowledgments
9. Contents
10. List of symbols, figures and tables, if any
11. Preface of the thesis
12. Introduction
13. Literature review
14. Chapters covering the work of the candidate
15. Conclusion
16. References
17. Appendices
18. List of papers communicated/accepted/published/presented.
19. Copies of acknowledgment/acceptance letter in case the papers are communicated/ accepted.
20. Copies of manuscripts/reprints of the papers communicated/accepted/published.
21. A personal profile not exceeding one page with photograph of the candidate.

References should be arranged chronologically in alphabetical order. Typical style of writing the references is given below:

- (a) For single author  
Surname, Initials, Title of the Article, Journal Name, Volume, Pages, Year.
- (a) For two authors  
Surname, Initials and Surname, Initials, Title of the Article, Journal Name, Volume, Pages, Year.
- (b) For more than two authors  
Surname, Initials, Surname, Initials, ..... and Surname, Initials, Title of the Article, Journal Name, Volume, Pages, Year.

While citing the references in the text, the following format should be followed:

Surname (Year) or Surname *et al.* (Year)

**The names of the Journals should be typed as per the style followed by any standard international organization/abstracting Journal such as IEEE/ Chemical Abstracts/ Current Contents/ Physics Abstracts, etc.**

ANNEXURE – G  
[See Clause IV (b) (7)]

**Undertaking**

I, \_\_\_\_\_, S/oShri \_\_\_\_\_

Working in organisation/Firm \_\_\_\_\_ since \_\_\_\_\_ for the years and have applied for Ph.D. Admission in the Banaras Hindu University as an Expert Part Time Research Scholar. If, I get selected for Ph.D. Admission, I will abide with the rules of the University. Nevertheless, I am submitting my following assurance in this regard:

1. I have been sponsored by my organization to register myself in BHU as a Part Time Research Scholar.
2. I will ensure my physical presence for 45 days per academic year (1<sup>st</sup> July to 30<sup>th</sup> June) up to submission of my Ph.D. thesis in the Department.
3. I will record my minimum attendance requirement of 45 days of my presence in the department per year.
4. If, I fail to fulfill the aforesaid conditions 1 to 3 above apart from other rules of the University, my candidature may be cancelled from Ph.D. admission by the Department.

**Signature of the Candidate**

**Counter signed by the Employer**

ANNEXURE – H  
(See Clause XIII.2 (b) (v))

**COPYRIGHT TRANSFER CERTIFICATE**

Title of the Thesis :

Candidate's Name:

*Copyright Transfer*

The undersigned hereby assigns to the Banaras Hindu University all rights under copyright that may exist in and for the above thesis submitted for the award of the Ph. D. degree.

**Signature of the candidate**

Note: However, the author may reproduce or authorize others to reproduce material extracted verbatim from the thesis or derivative of the thesis for author's personal use provided that the source and the University's copyright notice are indicated.

**ANNEXURE – I (1)**  
(See Clause XIV.5 (a))

**FORMAT OF EXAMINER'S REPORT**

**Banaras Hindu University**  
**Varanasi – 221 005**  
**Recommendation on Ph. D. Thesis**

**Name of the Candidate:**

Title of the Thesis

Please give your **specific recommendation** by ticking (✓) any one of the following, with signature underneath and enclose your **detailed report** on separate sheet(s) with your signature, name and address.

The thesis **be accepted** for the award of the Ph. D. degree [   ]  
OR

The thesis **is acceptable** for the award of the Ph. D. degree subject to [   ]  
the clarification of **certain points at the time of Viva-Voce.**  
(Please enclose the points)

OR

The thesis **is not acceptable in the present form but may be accepted** [   ]  
subject to **modification/clarification/revision.**  
(Please enclose your suggestions for modification etc. desired)  
After modification the **thesis need not be referred back to me.**

OR

The thesis **is not acceptable in the present form but may be accepted** [   ]  
subject to **modification/clarification/revision.**  
(Please enclose your suggestions for modification etc. desired)  
After modification the **thesis should be referred back to me for**  
**final assessment.**

OR

The thesis **be rejected.** (Please enclose your comments). [   ]

Place .....

Signature of the Examiner. ....

Date .....

Name and Address of the Examiner

.....  
.....  
.....

Encl: (a) Detailed report on separate sheet(s),  
(b) List of points for clarification.

ANNEXURE – I (2)  
(See Clause XIV.5 (f))

**FORMAT OF EXAMINER’S REPORT ON REVISED THESIS**

**Banaras Hindu University  
Varanasi – 221 005**

**Recommendation on Revised Ph. D. Thesis**

***Name of the Candidate:***

Title of the Thesis

Please give your **specific recommendation** by ticking (✓) any one of the following, with signature underneath and enclose your **detailed report** on separate sheet(s) with your signature, name and address.

The thesis **be accepted** for the award of the Ph. D. degree [ ]

OR

The thesis **be rejected**. (Please enclose your comments). [ ]

Place .....

Signature of the Examiner.....

Date ..... Name and Address of the Examiner

.....  
.....  
.....

Encl: Detailed report on separate sheet(s).

**ANNEXURE – I (3)**  
(See Clause XV.1)

**Fees Structure for Ph. D. Programme for Full-Time Research Scholars/ Teachers/Permanent Employees of the University or Teachers of the Constituent and Affiliated Colleges of the University<sup>12</sup>:**

S.No.	Particulars	Semester Fee (Rs.)
1.	Academic Fee	1,000.00
2.	Development Fee	1,000.00
3.	Examination Fee	4,000.00
Re-registration Fee (In case of cancellation of Registration) – Rs. 10,000/-. Fees after re-registration will be as follows		
4.	Academic Fee	2,000.00
5.	Development Fee	2,000.00

**Penalty Clause (for late fee submission):**

- Rs. 500/- will be charged for a delay up to one month.
- Rs. 1,000/- Will be charged for delay after one month and up to six month.
- Admission will be deemed to be cancelled after a delay of fee payment beyond six months.

**Fees Structure for Ph. D. Programme for External Candidates<sup>13</sup>:**

S.No.	Particulars	Semester Fee (Rs.)
<b>Admission Fee – 10,000/-</b>		
1.	Academic Fee	2,000.00
2.	Development Fee	2,000.00
3.	Examination Fee	1,0000.00
<b>Re-registration Fee (In case of cancellation of Registration) – Rs. 10,000/-. Fees after re-registration will be as follows</b>		
4.	Academic Fee	4,000.00
5.	Development Fee	4,000.00

**Penalty Clause (for late fee submission):**

- Rs. 1,000/- will be charged for a delay up to one month.
- Rs. 2,000/- will be charged for delay after one month and up to Six month.
- Admission will be deemed to be cancelled after a delay of fee payment beyond six months.

<sup>12</sup>W.e.f. session 2024-25

<sup>13</sup>W.e.f. session 2024-25



**Note:**

1. DRC should send FOUR names of Indian examiners covering all the regions of the country EXCEPT VARANASI and also FOUR names of experts from foreign universities.
2. Complete postal address including the name of the City/Country in which the Department/university is located Pin/Zip Code, e-mail and Fax/Phone number must also be sent for obtaining quick consent from the experts. In case of e-mail ID please ensure that the same is written LEGIBLY or types correctly.
3. In the case of retired person position held by the examiners at the time of retirement should be clearly mentioned while giving his residential address.

**For the use of the Dean of the concerned Faculty:**

S.No. \_\_\_\_\_ approved from panel of Examiner's from India.

\_\_\_\_\_  
Signature of the Dean (along with seal)

**Controller of Examinations**

(B) Foreign Examiners (if required)			
1. Name: Address:    COUNTY                      ZIP: PIN:                                e-mail  Tel.:                              FAX	2. Name: Address:    COUNTRY                      ZIP: PIN:                                e-mail  Tel.:                              FAX		

Signatures of the DRC Members	
1.	4.
2.	5.
3.	6.

Chairman, DRC

Certified that:-

1. All the Examiners are/has been of the designation of Professor/Associate Professor;
2. All the addresses are complete including PIN/ZIP code;
3. All the addresses are official or where the address is not official, the position held by the addresses is clearly stated;
4. The proforma is complete in all respect.

Chairman, DRC, (Seal)

**For the use of the Dean of the concerned Faculty:**

S.No. \_\_\_\_\_ approved from panel of Examiner's from India.

\_\_\_\_\_  
Signature of the Dean (along with seal)

**Controller of Examinations**